



IACUC SOP:	TAMU-S-012	Title:	Review and Investigation of Animal Welfare Concerns and Potential Noncompliance
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	Location	Effective Date	Review By
	College Station/Dallas/Galveston/Kingsville	05/01/2024	04/30/2027
	Houston	06/01/2024	04/30/2027

1. PURPOSE

- 1.1. To describe the process for the review, investigation, and response to reported animal concerns and potential noncompliance in animals overseen by the IACUC.

2. SCOPE

- 2.1. This SOP applies to:
 - 2.1.1. All reported animal concerns and potential noncompliance regarding the care and use of animals for research, teaching or testing under the Institution’s IACUC oversight, regardless of mechanism of notification or affiliation of the individual submitting the report or concern.
 - 2.1.2. Potential serious or continuing noncompliance discovered internally by the IACUC or AWO staff.
- 2.2. Excluded from this SOP:
 - 2.2.1. Minor or administrative noncompliance identified by the IACUC or AWO staff during facility inspections, post-approval monitoring activities, document review and personal communications that is not serious or continuing will be addressed by the IACUC or AWO as a function of the process in which the potential noncompliance was discovered.

3. RESPONSIBILITY

- 3.1. The **AV** (or designee) is responsible for ensuring adequate veterinary care, including emergency euthanasia when appropriate, and may temporarily halt on-going animal activities to protect animals from immediate or on-going jeopardy to health or well-being.
- 3.2. The **IACUC Chair** and **Attending Veterinarian** are responsible for reviewing initial reports of animal concerns and/or potential noncompliance submitted to the IACUC. This responsibility may be delegated to an appropriate designee as described in TAMU-S-002 and TAMU-S-006.
- 3.3. The **IACUC Chair** is responsible for:
 - 3.3.1. Assigning members to serve on Investigative Subcommittees. This responsibility may be delegated to an appropriate designee as described in TAMU-S-002 and TAMU-S-006.
 - 3.3.2. Communicating the outcome of IACUC review to relevant parties, as needed. This responsibility may be delegated to the IACUC Vice Chair or AWO Director (or designee) at the discretion of the IACUC Chair.
- 3.4. **IACUC Members** are responsible for serving on subcommittee(s) as assigned by the Chair to review or investigate reports of animal concerns and/or potential noncompliance as long as no actual or perceived conflicts of interest exist.
- 3.5. The **IACUC** (FCR) is responsible for:
 - 3.5.1. Reviewing reported animal concerns and allegations of noncompliance; and
 - 3.5.2. Assigning corrective actions such as protocol modification, additional training, oversight, or post-approval monitoring; or
 - 3.5.3. Suspending an individual’s privilege to conduct animal activities or animal facility access; or
 - 3.5.4. Suspending animal activities or protocols; or
 - 3.5.5. Terminating approved AUPs; and
 - 3.5.6. Reporting incidents as applicable to the IO; and through the IO to federal regulatory and granting agencies as well as AAALAC, as applicable.
- 3.6. The **IO** is responsible for reviewing animal concern and serious or continuing noncompliance reports from the IACUC and taking appropriate action, which may include temporarily halting on-going animal activities and reporting of incidents to the USDA, OLAW, ACURO, AAALAC, TAMUS and the funding agency, as applicable.



- 3.7. The **AWO Staff** is responsible for coordination of the IACUC review of animal concerns and potential noncompliance, communicating with personnel associated with the concern/noncompliance on behalf of the IACUC, and maintaining records or documents generated by the IACUC. AWO staff may also assist the IACUC in drafting subcommittee reports, as well as reports to the IO, TAMUS, regulatory and/or accrediting bodies. Documents may be transmitted to the complainant, respondent and IO by AWO Director (or designee) on behalf of the IACUC.

4. DEFINITIONS AND/OR ACRONYMS

- 4.1. **ACUP:** Animal Care and Use Program. Comprises all activities related to research, teaching and testing conducted by and at an institution that have a direct impact on the well-being of animals, including animal and veterinary care, policies and procedures, personnel and program management and oversight, occupational health and safety, IACUC functions, and animal facility design and management.
- 4.2. **ACURO:** Animal Care and Use Review Office of the United States Army Medical Research and Development Command.
- 4.3. **Ad-hoc Consultant:** Individual who is not an IACUC member, but who has sufficient training and experience to conduct an inspection or participate in a review or evaluation on behalf of the IACUC. Most often an experienced faculty member, veterinarian or AWO staff member, depending on the activity to be performed. When ad hoc consultants are utilized, the IACUC remains responsible for the evaluation, determination of corrective action, and reporting of all findings.
- 4.4. **Animal Concern:** Concern related to the care and use of animals at Texas A&M University. May be expressed verbally or in writing by a participant in the animal program, member of the institution, or general member of the public; and may be submitted anonymously or through a third-party hotline. May require reporting to federal regulators and/or accrediting body.
- 4.5. **AUP:** Animal Use Protocol. Document submitted by the PI indicating the housing and research procedures involving animals.
- 4.6. **AVP/RCO:** (TAMU) Associate Vice President for Research/Research Compliance Officer.
- 4.7. **AWO:** Animal Welfare Office. Supports the IACUC administratively.
- 4.8. **BOHP:** Biosafety Occupational Health Program. Provides occupational health services to personnel at risk of exposure to animals or infectious biohazards in the course of their participation in IBC or IACUC permitted research, teaching or diagnostic activities.
- 4.9. **Complainant:** A person who submits an animal concern or makes an allegation of noncompliance.
- 4.10. **ACURO:** Animal Care and Use Review Office of the United States Army Medical Research and Development Command.
- 4.11. **FCR:** Full committee review. Review and formal vote performed by a convened quorum of the members of the IACUC as described in TAMU-S-002.
- 4.12. **IACUC:** Institutional Animal Care and Use Committee. Institutional body responsible for ensuring adherence to federal regulation and institutional policy relating to the care and use of animals in teaching, testing and research. Appointed by the Institutional Official.
- 4.13. **Investigative Subcommittee:** Subcommittee authorized by the IACUC Chair (or designee) to collect information associated with a report or allegation. The subcommittee must include at least **one** IACUC member. Ad-hoc consultants may participate in the subcommittee at the IACUC Chair's request. Subcommittee member(s) must have no actual or perceived conflicts of interest. The IO also has the authority to convene an Investigative Subcommittee, if needed.
- 4.14. **IO:** Institutional Official. Individual within the University with the administrative and operational authority to commit institutional resources to ensure that the animal care and use program will comply with the requirements of federal regulation.

- 4.15. **MOU:** Memorandum of Understanding. A formal written understanding between two IACUCs that addresses responsibilities for animal care and use, ownership, and IACUC review and oversight for collaborative activity involving research, teaching or testing with live vertebrate animals.
- 4.16. **Noncompliance:** Accidental or intentional failure to comply with state and federal regulations, System policies or regulations, University rules or procedures, IACUC guidance, or the requirements to conduct research, teaching or testing using animals; including adherence to the approved animal use protocol. **Serious noncompliance** has a negative impact on the welfare of an animal and/or is in direct violation of a federal standard regulating animal activities, including provisions of the Occupational Health and Safety Program, and may require reporting to federal regulators, funding agencies and/or accrediting body. (Also see Federal Notice NOT-OD-05-034 for examples of situations that constitute reportable noncompliance under the PHS Policy.)
- 4.17. **OHP:** Occupational Health Program. Administered by TAMU Environmental Health and Safety. Provides occupational health services to personnel at risk of exposure to chemical, physical and radiological hazards.
- 4.18. **OLAW:** Office of Laboratory Animal Welfare. Provides guidance and interpretation of the Public Health Service (PHS) Policy on the Humane Care and Use of Laboratory Animals (Policy) for PHS-funded research and monitors compliance with the Policy by Assured Institutions.
- 4.19. **PI:** Principal Investigator. The individual who has ultimate administrative and programmatic responsibility for the design, execution, and management of a project utilizing vertebrate animals.
- 4.20. **Respondent:** The PI, person(s) or entity (represented by the unit head) that is the focus of an animal concern, or against whom an allegation of noncompliance is made.
- 4.21. **SOP:** Standard Operating Procedure. SOP documents are developed by the IACUC to provide procedural standards for the activities performed by the committee.
- 4.22. **SRS:** Sponsored Research Services. Consortium of research administrators supporting Texas A&M University System Members throughout the grant/award process.
- 4.23. **TAMUS:** Texas A&M University System
- 4.24. **USDA:** United States Department of Agriculture. USDA Animal Care, a unit under the Animal and Plant Health Inspection Service, administers the Animal Welfare Act (AWA) and associated Animal Welfare Act Regulations (AWAR).

5. PROCEDURE

5.1. General

- 5.1.1. Reported animal welfare concerns and allegations of potential noncompliance from external sources (“External Allegation”) are submitted to the IACUC as described in TAMU-G-015.
 - 5.1.1.1. Inspection or audit citations of noncompliance from the USDA, ACURO, or OLAW are also considered “External Allegations”.
- 5.1.2. Potentially serious or continuing noncompliance may be identified by the IACUC or AWO staff during facility inspections, post-approval monitoring activities, document review and personal communications (“Internal Allegation”).
- 5.1.3. Internal and External Allegations are identified collectively as “Allegations”.
- 5.1.4. The AV is notified by the AWO staff in the event that an Allegation indicates that the health or well-being of animals is in immediate or on-going jeopardy.
- 5.1.5. If the External Allegation reported involves potential criminal activity or immediate or on-going jeopardy to human welfare, the IACUC Chair, AV or AWO Director (or designees) will notify the Institutional Official as well as appropriate University, safety, occupational health physician (via BOHP/OHP) and/or law enforcement officials.
- 5.1.6. Confidentiality will be maintained to the extent possible and appropriate. Treatment of concerns or complaints will comply with state and federal whistleblower policies, nondiscrimination against the concerned/reporting party, and protection from reprisals as applicable. No employee or IACUC member

shall be discriminated against or be subject to any reprisal for good faith reporting of animal welfare concerns.

- 5.1.7. Variations may occur in the procedures described within this document to address changes in regulatory and institutional requirements.

5.2. Intake and Initial Review

- 5.2.1. Upon receipt of an External Allegation, the AWO staff will review the allegation to determine relevance to the ACUP.

5.2.1.1. If not related and/or relevant to the ACUP, no action is taken by the IACUC, though the External Allegation may be forwarded by the AWO Director (or designee) to another institution, department or unit, as appropriate.

5.2.1.2. If related and/or relevant to the ACUP, the IACUC Chair and AV (or designees) are notified and an IACUC Incident Report document is initiated by AWO staff to capture information about the External Allegation and outcome of the subsequent investigation and IACUC review. The same document is initiated for Internal Allegations. The IACUC Chair (or designee) determines the appropriate next steps in the process:

5.2.1.3. No action required by the IACUC (External Allegations)

- 5.2.1.3.1. Selected when the information provided for the External Allegation:

5.2.1.3.1.1. Does not contain sufficient detail for review and investigation of the External Allegation; or

5.2.1.3.1.2. Does not meet the definition of an Animal Concern or Noncompliance; or

5.2.1.3.1.3. Contains a minor or administrative concern that does not represent a serious noncompliance and may be addressed by AWO staff.

- 5.2.1.3.2. The IACUC Incident Report document is completed indicating the outcome of the IACUC Chair's (or designee's) assessment and the External Allegation is closed with no further action taken.

5.2.1.4. Review or investigation needed; no immediate action required to protect animal welfare and/or address potential serious or continuing noncompliance (External Allegations)

- 5.2.1.4.1. The IACUC Chair (or designee) determines if the appointment of an Investigative Subcommittee is warranted based on the nature of the Allegation; otherwise, the Allegation is reviewed by the IACUC Chair (or designee) with assistance and/or input from the AV and AWO Director/staff as required.

5.2.1.4.1.1. The IACUC Incident Report document is updated with the outcome of the Chair's review. AWO staff may assist in finalizing the IACUC Incident Report in preparation for IACUC review.

5.2.1.5. Review or investigation needed; immediate action required to protect animal welfare and/or address potential serious or continuing noncompliance (Internal/External Allegations)

- 5.2.1.5.1. The AV (or designee) will take immediate action to investigate the animal(s)/environment and has the authority to treat the animal, institute appropriate measures to relieve severe pain or distress, or perform emergency euthanasia.

5.2.1.5.2. The IACUC Chair (or designee) appoints an Investigative Subcommittee.

5.2.1.5.3. The IO and/or AV (or designees), may temporarily halt or suspend animal activities related to the Allegation until a formal investigation is conducted; this may include the confiscation or removal of animals from the control of the PI. The Respondent, Department Head/Dean and OLAW/USDA (as appropriate and applicable) are all notified when this step occurs. Notification may come from the IO, IACUC Chair, AV or AWO Director (or designees).

5.3. Investigation

- 5.3.1. The Investigative Subcommittee is charged by the IACUC Chair with the requirements for information gathering and provided with a target completion date. The subcommittee is directed to protect the identity of the Complainant (if known) to the extent possible.
- 5.3.2. The Investigative Subcommittee's investigative actions may include, but are not limited to:
 - 5.3.2.1. Interviewing Complainants (if known), Respondents and additional potential witnesses or personnel with additional information
 - 5.3.2.2. Observing animals, personnel, equipment or devices, procedures and/or the environment
 - 5.3.2.3. Reviewing pertinent documents such as the AUP, as well as husbandry, animal health, surgical or procedural records
- 5.3.3. The IACUC Incident Report document is updated with the outcome of the investigation and indicates if the subcommittee supports the categorization of the Allegation as noncompliance (institutional and/or regulatory), and if it is serious or continuing. The subcommittee also at a minimum identifies the perceived root cause and suggests corrective actions (consistent with the examples provided below) for IACUC consideration. Supporting documentation may be included with the report, as applicable. AWO staff may assist in finalizing the IACUC Incident Report in preparation for IACUC review.

5.4. IACUC Review (full committee)

- 5.4.1. The IACUC Incident Report is presented to the committee at a convened meeting of a majority of the voting members of the IACUC. Because of the confidential nature, visitors unrelated to the review of the External/Internal Allegation are excused from the meeting.
 - 5.4.1.1. Consistent with Texas Education Code Section 51.971 and any other legal requirements, the IACUC will take steps to maintain confidentiality of the identity of the Complainant and the Respondent.
- 5.4.2. Corrective action(s) prescribed by the IACUC will be based on the nature of the issue, occurrence of previous noncompliance (repeat or volume of novel events), the risk of repeat noncompliance and/or negative impact on animal welfare or human health and safety. Reasonable timetables for correction are established to mitigate ongoing noncompliance and/or negative impact to animal welfare and prevent future incidents.
- 5.4.3. The IACUC review process is iterative and will repeat until the committee votes to close the IACUC Incident Report. AWO staff records the outcome of each stage of IACUC review on the IACUC Incident Report Form.
- 5.4.4. The IACUC reviews the information provided by the IACUC Chair/Investigative Subcommittee and determines by deliberation and majority vote if:
 - 5.4.4.1. There is insufficient data to make a determination, the type of additional data needed to complete the review, who will collect the data, and the deadline for reporting the results of data collection back to the IACUC;
 - 5.4.4.2. The issue under review is institutional and/or regulatory noncompliance, and if it is serious or continuing;
 - 5.4.4.3. The proposed corrective action(s) and timelines for correction (if provided/applicable) are acceptable, or require modification or expansion, appropriately reflect the seriousness of the incident, and are suggested or required. Examples of corrective actions include, but are not limited to:
 - 5.4.4.3.1. Notification of noncompliance. Requires confirmation that the Respondent has read and agrees to adhere to the applicable TAMU Rule and/or IACUC Guidance.
 - 5.4.4.3.2. Modification of the approved AUP
 - 5.4.4.3.3. Additional record keeping or reporting to the IACUC, AV or AWO
 - 5.4.4.3.4. Additional training, post approval monitoring or oversight for specific activities or procedures
 - 5.4.4.3.5. Provision of an action plan developed by the Respondent to prevent reoccurrence for IACUC review (may include presentation of the plan to the IACUC at a convened meeting)

- 5.4.4.3.6. Provision of training by the IACUC Chair, AV, AWO Director (or designees), and/or Respondent to members of the Respondent's staff or others (may include presentation of the training by the Respondent to the IACUC at a convened meeting at the IACUC's request)
- 5.4.4.3.7. Suspension of an individual, procedure or AUP
 - 5.4.4.3.7.1. The suspension of an individual, procedure or AUP may be modified, lifted or revoked based on the review of new information and/or completion of IACUC assigned corrective actions by the Respondent and/or other AUP participants.
- 5.4.4.3.8. For suspension of an active AUP with animals housed or on study:
 - 5.4.4.3.8.1. An accurate census of affected animals will be communicated to the IACUC
 - 5.4.4.3.8.2. An accurate account of the status of the affected animals will be communicated to the IACUC with updates as requested
 - 5.4.4.3.8.3. Animals placed on a holding protocol or SOP as described in TAMU-G-033 may not be transferred to another protocol or SOP without prior IACUC approval when animals have been transferred as a result of suspension of an activity, protocol or personnel.
- 5.4.4.3.9. Closure of the AUP and termination of the approved study
- 5.4.4.3.10. Other corrective action as determined by the IACUC
- 5.4.4.4. The process is complete and the IACUC Incident Report can be signed by the IACUC Chair (or designee) and closed
 - 5.4.4.4.1. Review of the iRIS IACUC Reportable Event Form (or similar in Huron) is completed as described in TAMU-S-002 (noncompliance only) and/or TAMU-S-015 (adverse event with noncompliance)

5.5. Notification and Reporting

5.5.1. Complainant

- 5.5.1.1. The AWO staff will provide confirmation that the IACUC has addressed the concern and closed the issue for External Allegations where the Complainant has requested to be apprised of the outcome of IACUC review.

5.5.2. Respondent

- 5.5.2.1. Initial notification: The IACUC Chair or AWO Director (or designees) will notify the Respondent of the Allegation and provide details of the IACUC review/investigative process. Note: The review/investigative process may commence prior to notification.
- 5.5.2.2. Final notification: The IACUC Chair (or designee), or AWO Director (or designee) on behalf of the Chair, provides written notification of the result of the IACUC review to the Respondent. This communication will include, as applicable, a summary of the Allegation, list of findings or outcome of the IACUC's review with applicable regulatory references and recommended or required corrective actions or sanctions (such as suspension or termination).
 - 5.5.2.2.1. The communication will include instructions on how to appeal the IACUC's decision to the IACUC Chair in writing within 10 days from the date of the communication detailing the basis of the appeal and requesting a meeting with the IACUC.
 - 5.5.2.2.2. In cases of suspension, the parameters of the suspension are described, such as the suspension of an individual's privilege to conduct animal activities or gain animal facility access, suspension of an individual AUP procedure, or suspension of the entire protocol, as is the duration of the suspension (defined time period, indefinite) and/or conditions for revoking the suspension. The notification indicates that further corrective action, if any, will be determined by the IO in consultation with the IACUC.

5.5.2.2.2.1. The Respondent is notified by the IACUC Chair or AWO Director (or designees) in writing when the IACUC modifies, lifts or revokes the suspension of an individual, procedure or AUP.

5.5.3. Department Head/Dean

5.5.3.1. Initial notification: The IACUC Chair or AWO Director (or designees) will notify the applicable Department Head/Dean of the Allegation and provide details of the IACUC review/investigative process.

5.5.3.1.1. Note: Only applies to Internal Allegations and External Allegations that require IACUC review. The review/investigative process may commence prior to notification.

5.5.3.2. Final notification: The Department Head/Dean is notified:

5.5.3.2.1. of the outcome of the IACUC review and if any external reporting will occur

5.5.3.2.2. when the IACUC lifts or revokes a suspension or an individual, procedure or AUP (if applicable).

5.5.4. IO and TAMUS

5.5.4.1. Notification concerning the receipt of an Allegation is made by the IACUC Chair, AV or AWO Director (or designees) to the IO and TAMUS through the AVP/RCO following the guidelines, timing and format established by the IO and/or TAMUS.

5.5.4.2. A copy of the final signed IACUC Incident Report is submitted to the IO by the IACUC Chair or AWO Director (or designees) for all Allegations where an initial notification was made to the IO/TAMUS; or for Allegations without an initial notification where the IACUC has rendered a finding of serious or continuing noncompliance; or when the IACUC suspends an individual, procedure or AUP, or terminates an approved AUP.

5.5.4.2.1. The IO is notified when the IACUC lifts or revokes suspension or an individual, procedure or AUP.

5.5.4.2.2. Final notification of the outcome of the IACUC's review is made to TAMUS through the AVP/RCO following the guidelines, timing and format established by the IO and/or TAMUS.

5.5.5. Reporting to Regulatory, Funding Agencies, Accrediting Body and Collaborators

5.5.5.1. OLAW/USDA:

5.5.5.1.1. When a reportable event has occurred, the IACUC, through the IO, will promptly provide a full explanation of the circumstances and actions as a written report to OLAW and if applicable, the USDA consistent with published reporting requirements.

5.5.5.1.1.1. A preliminary report of the Allegation is made by the IACUC Chair, AV or AWO Director (or designees) to OLAW consistent with NOT-OD-05-034 when the animals impacted fall under the Animal Welfare Assurance, or the potential non-compliance is programmatic in nature. The final written report is submitted within the timeframe established by OLAW.

5.5.5.1.1.2. A preliminary report of the Allegation may be made by the AV to the applicable USDA Veterinary Medical Officer (VMO).

5.5.5.2. **SRS:** The IACUC Chair or AWO Director (or designees) will notify TAMU SRS of the outcome of the IACUC's review for reportable events that impact sponsored activities. An initial notification may be performed by the AWO Director (or designee) as a courtesy. Funding agency notification will occur as appropriate through Sponsored Research Services.

5.5.5.3. **ACURO:** The IACUC Chair, AV or AWO Director (or designees) will promptly report applicable events to ACURO consistent with published reporting requirements and in consultation with the AVP/RCO on behalf of the IO.

5.5.5.4. **AAALAC:** The designated Unit Contact (AWO Director), in consultation with the IACUC Chair, AV (or designees) and AVP/RCO on behalf of the IO, will promptly report applicable events to AAALAC and/or include a description in the annual report for the reporting period consistent with published reporting requirements.

5.5.5.5. **Collaboration:** The IACUC Chair, AV or AWO Director (or designees) will notify the applicable institution for inbound collaborations of the outcome of the IACUC’s review for reportable events as outlined in the executed intrasystem agreement, interagency agreement, or MOU (also see TAMU-S-017).

5.5.5.5.1. A preliminary notification of the Allegation may be made by the AWO Director (or designee) as a courtesy or when outlined in the intrasystem agreement, interagency agreement, or MOU.

6. REFERENCES, MATERIALS, AND/OR ADDITIONAL INFORMATION

6.1. References/Resources:

6.1.1. OLAW:

- 6.1.1.1. [PHS Policy on Humane Care and Use of Laboratory Animals](#)
- 6.1.1.2. [Guide for the Care and Use of Laboratory Animals](#)
- 6.1.1.3. [Reporting Noncompliance](#)
- 6.1.1.4. Notice [NOT-OD-05-034](#) Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals
- 6.1.1.5. ARENA/OLAW Institutional Animal Care and Use Committee Guidebook, 2nd Ed. 2002. ([IACUC Guidebook](#))
- 6.1.1.6. [ILAR News 1991; 33\(4\):68-70](#). The PHS Responds to Commonly Asked Questions.
- 6.1.1.7. [Contemporary Topics 1997; 36\(2\):47-50](#). FAQs about the PHS Policy on Humane Care and Use of Laboratory Animals.

6.1.2. USDA:

- 6.1.2.1. [Animal Welfare Regulations 9 CFR Ch.1 Subpart C § 2.31](#)
- 6.1.2.2. [USDA Animal Welfare Inspection Guide](#)
- 6.1.2.3. Tech Note [Incentives for Identifying, Reporting, Correcting, and Preventing Noncompliance with the Animal Welfare Act](#)

6.1.3. AAALAC:

- 6.1.3.1. [Managing and reporting adverse events](#)

6.1.4. Other:

- 6.1.4.1. [Guide for the Care and Use of Agricultural Animals in Research and Teaching](#)
- 6.1.4.2. US Army Medical Research and Development Command Animal Care and Use Review Office (ACURO): [ACURO Reporting Guidance](#)

6.1.5. TAMU:

- 6.1.5.1. TAMU Rule [15.99.07.M1](#) Use of Vertebrate Animals

6.2. Additional Materials:

6.2.1. [AWO](#): (requires TAMU NetID authentication)

- 6.2.1.1. AWO-F-003 IACUC Incident Report (not on web, available from AWO staff)
- 6.2.1.2. TAMU-F-022 Reportable Event Form – Facility (not on web, available from AWO staff)
- 6.2.1.3. TAMU-G-033 Guidelines for the Use of Holding Protocols and SOPs
- 6.2.1.4. TAMU-S-002 Mechanisms of IACUC Review, Veterinary Consultation and Confirmation
- 6.2.1.5. TAMU-S-006 Composition, Appointment, Responsibilities, and Training of the IACUC
- 6.2.1.6. TAMU-S-017 Review and Approval of Collaborative and Contracted Animal Activities
- 6.2.1.7. TAMU-G-015 Guidelines for Reporting Animal Concerns, Unanticipated or Adverse Events, and Potential Noncompliance

7. HISTORY

Effective Date	Version #	Description
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10/1/2020	000	College Station/Galveston: New format and content; replaced AWO-501. Reviewed and approved via email
11/2/2020	001	Houston/Kingsville: New format and content; replaced IBT-113.01. Reviewed and approved via email.
01/19/2021	002	Dallas: New format and content; replaced CD-113.01
03/24/2022	003	College Station/Dallas/Galveston: Merging of Dallas animal care and use program with College Station/Galveston
10/20/2022	004	College Station/Dallas/Galveston/Kingsville: Merging of Kingsville animal care and use program with College Station/Dallas/Galveston.
07/01/2023	005	College Station/Dallas/Galveston/Kingsville: Renewal; minor updates to Scope, Responsibility, Definitions and References; changes to Procedure to include expansion of preliminary and final reporting process, expansion in possible corrective actions. Reviewed and approved via TEAMS.
08/01/2023	006	Houston: Renewal; minor updates to Scope, Responsibility, Definitions and References; changes to Procedure to include expansion of preliminary and final reporting process, expansion in possible corrective actions.
5/1/2024	007	College Station/Dallas/Galveston/Kingsville: Renewal; modification of procedure to address suspension and remaining animals. Reviewed and approved via TEAMS.
6/1/2024	008	Houston: Renewal; modification of procedure to address suspension and remaining animals