**Post Approval Monitoring: PI Self-Assessment Checklist**

This abbreviated checklist has been developed to assist the PI in performing a periodic self-assessment of protocol drift or to prepare for a post approval monitoring visit. Please contact the AWO Post Approval unit for additional assistance: pam-awo@tamu.edu

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| **PI Name** |  | **Date of Scheduled PAM**  |  |
| **Protocol #(s)**  |  |
| **Animal Locations**  |  |
| **Species** |  |

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| **A** | **Personnel** | **Yes** | **No** | **N/A** |
| 1 | All protocol participants can access the approved protocol, amendments in iRIS. |[ ] [ ] [ ]
| 2 | All protocol participants are listed on the approved protocol. |[ ] [ ] [ ]
| 3 | Personnel training records are complete and up-to-date |[ ] [ ] [ ]
| 3 | Personnel know experimental endpoints and recognize animal pain & distress. |[ ] [ ] [ ]

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| **B** | **Housing/Facility** | **Yes** | **No** | **N/A** |
| 1 | Animal use locations and activities match protocol. |[ ] [ ] [ ]
| 2 | Veterinary care is available for after hours, weekends, and holidays (individuals are aware of how to find this information). |[ ] [ ] [ ]
| 3 | Whistleblower sign visible. |[ ] [ ] [ ]

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| **C** | **Procedures (including euthanasia)** | **Yes** | **No** | **N/A** |
| 1 | Only procedures listed on protocol are performed and as described. |[ ] [ ] [ ]
| 2 | The approved method(s) of euthanasia is used, including compounds and method of confirming death. |[ ] [ ] [ ]

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| **D** | **Anesthesia/Analgesia (both non-surgical & surgical unless noted)** | **Yes** | **No** | **N/A** |
| 1 | Drug, dose, route, and timing match protocol. |[ ] [ ] [ ]
| 2 | Animals are monitored while waking from anesthesia as described in the protocol and fully recovered before returning to animal housing. |[ ] [ ] [ ]

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| **E** | **Other Drugs/Agents** | **Yes** | **No** | **N/A** |
| 1 | Drugs and administered agents used match protocol (includes grade, dose, route, frequency, etc.). |[ ] [ ] [ ]

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| **F** | **Asepsis** | **Yes** | **No** | **N/A** |
| 1 | Aseptic technique is used for prep and survival surgery or other applicable procedure unless otherwise approved in the protocol. Prep and surgical area are physically separated. |[ ] [ ] [ ]

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| **G** | **Expired Drugs/Materials/Equipment** | **Yes** | **No** | **N/A** |
| 1 | Administered drugs/agents, feed, medical materials, equipment certification are not expired. |[ ] [ ] [ ]

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| **H** | **Safety & Hygiene** | **Yes** | **No** | **N/A** |
| 1 | Safety features are in place and used appropriately (e.g., SOPs, emergency contact sign, allergen alert sign for rodent locations, eyewash stations, secured gas cylinders, sharps bin). |[ ] [ ] [ ]
| 2 | Devices, equipment, non-disposable items that contact animals are cleaned and sanitized regularly following a validated procedure.  |[ ] [ ] [ ]

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| **I** | **Exceptions to Welfare Standards or IACUC Guidance** | **Yes** | **No** | **N/A** |
| 1 | Exception matches AUP:Food/fluid restriction/regulation; Restraint type and duration (including acclimation & removal criteria; Single housing; Sanitation frequency; Standard environmental conditions; Environmental enrichment; use and preparation of Non-pharmaceutical grade substances. |[ ] [ ] [ ]

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| **J** | **Monitoring & Records** | **Yes** | **No** | **N/A** |
| 1 | Animal monitoring & removal from study (endpoint) occurs as described in protocol. |[ ] [ ] [ ]
| 2 | All records are complete and available for review: Anesthesia, analgesia, surgical, and post-op; DEA controlled substances; animal health monitoring (clinical signs, scheduled monitoring, early removal criteria); food/fluid restriction; room/environment parameters for satellite housing are recorded daily; equipment maintenance logs such as guillotine, sanitation efficacy of handwashed items (if performed by lab) |[ ] [ ] [ ]

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| **Feedback/notes** |
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