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| The purpose of this worksheet is to provide support for individuals responsible for the scientific review of non-exempt research. Use this worksheet to determine whether the research has scientific or scholarly validity. IRB members conducting scientific or scholarly review are to complete the worksheet and retain it in the files. Consultants providing scientific or scholarly review are to complete this worksheet and provide it to IRB staff who will retain it in the files. [[1]](#footnote-1)  |
|  |
| 1. I do NOT have a conflicting interest: ☐ Yes ☐ No (If Yes, contact IRB staff and do not review).
 |
| 1. Overall Scientific and Scholarly Validity – Soundness of Research Design (Check if “Yes”. All must be checked)
 |
| ☐ | Does the protocol accurately describe the research in a clear, detailed protocol in terms of? |
| * Objectives
* Background
* Setting
* Procedures
 | * Data and safety monitoring plan
* Risks
* Potential benefits
* Alternatives to participation
 |
|  | Comment on the above:      |
| ☐ | Is there another way to do this research that would reduce risks to subjects and still answer the scientific question? |
|  | Comment on the above:      |
| ☐ | Are there any monitoring procedures that would reduce risks to subjects and not affect the science? |
|  | Comment on the above:      |
| ☐ | Is the research likely to answer its proposed question? |
|  | Comment on the above:      |
| ☐ | Does the protocol fairly portray the knowledge expected to result? |
|  | Comment on the above:      |
|  |
| 1. Clinical Trials (Check if “Yes” or “N/A”. All must be checked if the research is a Clinical Trial.)
 |
| ☐ | The available nonclinical and clinical information on an investigational product is adequate to support the proposed clinical trial. |
|  | Comment on the above:      |
| ☐ | The investigator has demonstrated (e.g., based on retrospective data) a potential for recruiting the required number of suitable subjects within the agreed recruitment period. |
|  | Comment on the above:      |
| ☐ | The investigator has sufficient time to properly conduct and complete the trial within the agreed trial period. |
|  | Comment on the above:      |
| ☐ | The investigator has available an adequate number of qualified staff and adequate facilities for the foreseen duration of the trial to conduct the trial properly and safely. |
|  | Comment on the above:      |
| ☐ | The investigator will ensure that all persons assisting with the trial are adequately informed about the protocol, the investigational product(s), and their trial-related duties and functions. |
|  | Comment on the above:      |
| ☐ | A qualified physician (or dentist, when appropriate), who is an investigator or a sub-investigator for the trial, will be responsible for all trial-related medical (or dental) decisions. |
|  | Comment on the above:      |
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1. This document satisfies AAHRPP elements I.1.F, I-9, II.2.E-II.2.E.2, II.3.A [↑](#footnote-ref-1)