

### 1 PURPOSE

1.1 This SOP establishes the process for someone other than the <u>convened</u> IRB to institute a <u>Suspension of IRB Approval</u> or a <u>Termination of IRB Approval</u>.

HRP-026

- 1.2 The process begins when the <u>Institutional Official</u>, IO designee, HRPP Director or IRB Chair institutes a <u>Suspension of IRB Approval</u> or a <u>Termination of IRB Approval</u>.
- 1.3 The process ends when the <u>Suspension of IRB Approval</u> or a <u>Termination of IRB Approval</u> has been placed on the agenda for review by the <u>convened</u> IRB.

## 2 REVISIONS FROM PREVIOUS VERSION

2.1 Revised from the 5/30/2017 version.

## 3 SOP Statement

- 3.1 The Vice President for Research, IO, IRB Chair. HRPP Director or their designee may institute a <u>Suspension of IRB Approval</u> for some or all research procedures when in the opinion of the IRB chair or HRPP Director the previously approved research is not being conducted in accordance with the Texas A&M University IRB's requirements or the <u>subjects</u> may be at <u>risk</u> of adverse effects on their rights and welfare before action may be considered by the <u>convened</u> IRB.
- 3.2 The <u>Institutional Official</u> or Designee may institute a <u>Suspension of IRB Approval</u> or <u>Termination of IRB Approval</u> for any reason.
- 3.3 Whenever possible the individual following these procedures communicates with investigators orally and in writing.

### 3.4 Administrative or Voluntary Holds:

- 3.4.1 An "<u>administrative hold</u>" is a voluntary interruption of <u>research</u> enrollments and ongoing research activities by the research <u>investigator</u> or <u>sponsor</u>.
- 3.4.2 An <u>administrative hold</u> cannot be used to extend IRB <u>approval</u> beyond the expiration date of a protocol without approval of continuing review.
- 3.4.3 The term "<u>administrative hold</u>" does not apply to interruptions of <u>research</u> related to concerns regarding the safety, rights, or welfare of <u>human research subjects</u>, research <u>investigators</u>, research staff, or others.
- 3.4.4 An "<u>administrative hold</u>" cannot be used to avoid reporting deficiencies or circumstances otherwise covered by institutional policies or other regulatory requirements governing research.
- 3.4.5 An <u>Administrative Hold</u> directed by the IRB is a <u>suspension</u> and must be classified and reported as such.

## 4 **RESPONSIBILITIES**

4.1 The individual instituting a <u>Suspension of IRB Approval</u> or <u>Termination of IRB Approval</u> follows these procedures.

# 5 PROCEDURE

- 5.1 Notify the <u>investigator</u> of the <u>Suspension of IRB Approval</u> or <u>Termination of IRB Approval</u> along with the reasons for the decision.
- 5.2 Ask the <u>investigator</u> for a list of <u>Human Subjects</u> currently involved in the <u>research, when</u> <u>applicable</u>.
- 5.3 Ask the <u>investigator</u> whether any actions are required to protect those <u>subjects'</u> rights and welfare or to eliminate an apparent immediate hazard.
- 5.4 Consider whether any of the following additional actions are required to protect those or other <u>subjects</u> rights and welfare or to eliminate an apparent immediate hazard:
  - 5.4.1 Transferring <u>subjects</u> to another <u>investigator</u>.
  - 5.4.2 Making arrangements for clinical care outside the research.
  - 5.4.3 Allowing continuation of some <u>research</u> activities under the supervision of an independent monitor.

$\mathbf{M} \mid \mathbf{TEXAS}_{\mathbf{M}} \mathbf{A} \mathbf{A} \mathbf{A} \mathbf{M}$	SOP: Suspension or Termination Issued Outside of Convened IRB		
	NUMBER	DATE Last Reviewed	PAGE
	HRP-026	5/1/2022	Page <b>2</b> of <b>1</b>

- 5.4.4 Requiring or permitting follow-up of <u>subjects</u> for safety reasons.
- 5.4.5 Requiring <u>adverse events</u> or outcomes to be reported to the IRB and the <u>sponsor</u>.
- 5.4.6 Notification to current <u>Human Subjects</u>.
- 5.4.7 Notification to former <u>Human Subjects</u>.
- 5.5 The IRB/HRPP staff will move the study to a 'Suspended' status in the IRB electronic system.
- 5.6 Refer to the IRB/HRPP staff to place on the agenda for the next available convened IRB meeting in an IRB with appropriate scope as an item of <u>Suspension of IRB Approval</u> or <u>Termination of IRB Approval</u>.
- 5.7 Complete and send to the investigator a HRP-515 LETTER Suspension or Termination.

## 6 MATERIALS

- 6.1 HRP-041 SOP IRB Meeting Conduct
- 6.2 HRP-515 LETTER Suspension or Termination

## 7 REFERENCES

- 7.1 21 CFR §56.108(b)(3), 21 CFR §56.113
- 7.2 45 CFR §46.103(b)(5)(ii), 45 CFR §46.108(a), 45 CFR §46.113
- 7.3 AAHRPP I.5.D, II.2.D, II.2.G, II.2.H